



RISK MANAGEMENT



Managing the Compliance Program

Karen Leiter, Principal, CLA

Managing the Compliance Program: conducting regular risk assessments, understanding the role of oversight, and comprehending the “three lines of defense” model for managing compliance risk.

Risk's Role at ALCO

Mark Haberland, Managing Director, Darling Consulting Group

During this session, participants will gain valuable insights through discussion and case studies of how detrimental some practices can be to your bottom line and the success many institutions are having by promoting a collaborative culture at ALCO, improving the quality of risk model inputs and outputs to make the best decisions for their institutions. Turning your ALCO into a true Profit Center takes dedication to the process, but the results are game-changers!

Ponzi Schemes and Customer Fraud: What Financial Institutions Must Know to Minimize Exposure to Third Parties

Michael Campbell, Practice Chair, Polsinelli

Lawsuits against financial institutions for damages arising from their customers' engagement in Ponzi schemes and fraud are on the rise, as are the multi-million-dollar awards and settlements. In these lawsuits, third parties who suffered losses at the hands of bank customers seek to recover these from banks based on a variety of legal theories. The risk of these claims creates challenges for risk management officers, bank management, and staff, who must meet their suspicious activity reporting requirements while mitigating against potential third-party claims. Chief among these challenges are the missteps banks often make when they learn of potential fraud committed by their customers.

Seven Lessons Learned in Contract Negotiation

Bob Koehler, President, Strategic Resource Management

This presentation covers the seven most common areas where contract negotiation lessons have been repeatedly learned. Additionally, real examples will be discussed to show institutions how to uncover hidden costs and enhance the revenue associated with their debit card portfolio.

Out with the Old: Liquidity, Funding, and Regulation

Ryan Smith, Managing Director, Piper Sandler

Join Ryan Smith of the Piper Sandler Financial Strategies team for a discussion of current themes in the financial industry. Banks are under the microscope in this environment and integrating new options into a strategic plan is essential. Themes will include rethinking liquidity and funding to ensure a modernized view on sources and measures. This will also cover the impact that new regulation may have on your bank's strategy. The discussion will include relevant market updates to contextualize the ideas and help management teams find more value in 2024 and beyond.